

Confirmation of verification of identity - Private individual

Introduction by an FCA-regulated firm

Before you start

A separate confirmation must be completed for each customer. Where a third party is involved - eg. someone who pays contributions but is not the customer - the identity of that person must also be verified and a confirmation provided.

This form cannot be used to verify the identity of any customer that falls into one of the following categories.

- Those who are exempt from verification because they were an existing client of the introducing company before these verification requirements were introduced
- Those who have been subject to Simplified Due Diligence under the Money Laundering regulations
- Those whose identity has been verified using the source of funds as evidence

Part 1 - Customer details

Customer's full name:

Current address:

Postcode:

Previous address (if changed in last 3 months):

Postcode:

Date of birth:

Please turn over to complete the form

Part 2 - Confirmation

This confirms that I/we obtained the customer information in part 1 and the evidence used to verify the customer's identity:

- meets the UK Financial Sector guidance for standard evidence set out by the Joint Money Laundering Steering Group (JMLSG)
- or**
- exceeds the standard evidence (written details of the evidence obtained is attached to this form)

Tick one box only

Signed:

Name:

Position:

Date:

Part 3 - Details of introducing firm or sole trader

Full name of regulated firm/sole trader:

FCA reference number: